



EU Funds  
Management Task Group



European Union

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## **Annex 2**

# **MODEL ANNUAL PROGRAMME** **REVISED VERSION**

MEMBER STATE: **PORTUGAL**

FUND: **External Borders Fund**

RESPONSIBLE AUTHORITY: **EU Funds Management Task Group – Ministry of Home Affairs**

YEAR COVERED: **2009**



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## **1. GENERAL RULES FOR SELECTION OF PROJECTS TO BE FINANCED UNDER THE PROGRAMME**

The Responsible Authority (RA) is a totally independent structure specifically established by Government instrument to provide technical, administrative and financial management for the General Programme on Solidarity and Management of Migration Flows.

In accordance with the legal instrument which establishes it and defines its functions, the RA is completely prohibited from acting as a beneficiary of EU co-financing or as an executing body for projects and may not do so even as part of a partnership or association.

The head of the RA is therefore personally and nominally accountable to the Government for the professional, impartial, independent and transparent character of all acts of management during the implementation of the entire Framework Programme on Solidarity and Management of Migration Flows.

This model – used by Portugal as a standard for the management of structural funds for the past twenty years, as well as in the ERF I and II – ensures a complete separation of functions, putting the RA in a position of total independence, whether in relation to potential beneficiaries of financing or in relation to the Certifying Authority (CA)<sup>1</sup> and the Auditing Authority (AA),<sup>2</sup> which likewise enjoy full administrative, technical and financial autonomy.

Consequently, even in hypothetical situations where the open competition (to which all calls for applications are subject) may, depending on the particular area of activity concerned, give rise to only one potential beneficiary – and even though that beneficiary may be a government body – the institutional and legal nature of

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<sup>1</sup> Foreign Nationals and Borders Service.

<sup>2</sup> Inspectorate-General of Finance.



the RA, its independent status and the independence with which it is required to perform its exclusive management functions will ensure a complete separation of functions, thus guaranteeing the impartiality and transparency of all acts connected with the analysis, selection, monitoring and evaluation of projects.

This model is supplemented by the appointment of two bodies with full technical, administrative and financial autonomy, the CA and the AA.

In the case of the CA, the separation of functions is further reinforced by the fact that the team responsible for certifying expenditure under the Funds of the Framework Programme has no involvement in matters relating to the implementation, if any, of projects, as was the case, moreover, under the ERF II.

The AA, which is part of the Ministry of Finance and therefore occupies a position of complete independence in relation to all potential beneficiaries, is the body responsible, at national level, for auditing all Community financing, which fact alone attests to its competence and impartiality in exercising the functions in question.

The RA is also part of a Joint Committee, an advisory body made up of representatives of those members of the Government with competence in the area in which the Fund is active which becomes involved either at the stage of drafting the programming under the Fund or at the stage of approving projects.

The general rules for selection of projects to be financed under the Annual Programme are consistent with those laid down in the specimen description of the management and control system for the four Funds that make up the Framework Programme on Solidarity and Management of Migration Flows, which was sent to the European Commission at the appropriate time.

The RA organises all the procedures for the selection and award of co-financing by the Fund, in accordance with the principles of transparency, equal treatment and non-duplication of support.

The rules are contained in the national legislation relating specifically to the Fund – Portaria No 79/2008 of 25<sup>th</sup> January 2008, revised by Portaria No 912/2010 of 16<sup>th</sup>



September 2010 – and can also be found in the manual of procedures for the Fund as well as on the RA's own website (<http://www.fundoscomunitarios.mai.gov.pt>).

The process for the selection of projects laid down in those documents is as follows:

- Announcement – Applications are made to the RA following the publication of an announcement in a newspaper with extensive national coverage and on the RA's own website. The announcement contains, either directly or by reference to a web page which will specify, all information relevant for this purpose, in particular the Fund objectives which the applications must meet, the eligible beneficiaries, the temporary eligibility period for the Annual Programme (1 January 2009 to 30 June 2011) during which projects must be implemented, the selection criteria and the value of the financial appropriation available.
- General selection criteria:
  - Degree of consistency with the national situation and national requirements;
  - Relevance, appropriateness and consistency of the objectives and activities of the project in relation to the Multiannual Programme;
  - Fitness of the profile of the body applying for financing, proven experience and track record;
  - Cost effectiveness of the anticipated expenditure;
  - Degree of complementarity with other publicly-financed projects.

The minimum criteria laid down in Article 16(5) of the basic act establishing the Fund will also be observed.

Expected timing of the opening of the call for applications – Since the national conditions for full implementation of the Programme have already been satisfied and in the light of the expectations of potential beneficiaries, the first call for applications was opened from the 10<sup>th</sup> of August to the 25<sup>th</sup> of September 2009. A second call for applications, specifically to Action 2, was opened from the 2<sup>nd</sup> to the 9<sup>th</sup> of December 2009. However, almost

every beneficiaries withdrew their applications, leading to two new calls for applications. The first, referring to Actions 1 and 3, was opened from the 20<sup>th</sup> of September to the 29<sup>th</sup> of October 2010. The second, specifically to Action 2, was opened from the 2<sup>nd</sup> to the 15<sup>th</sup> of November 2010.

Submission of applications – Applications are submitted by electronic form, so as to provide the information necessary for the approval decision and the subsequent monitoring, control and evaluation processes. A table of indicators of implementation and results is annexed to the form so that the body applying for funding can select the indicators most appropriate to the proposed project. This will make it possible to assess the project's contribution to the objectives of the Programme.

- Admissibility of the application – This involves a formal analysis of the application to verify compliance with the general conditions governing the eligibility of the applicant and of the project.
- Analysis of the application and technical opinion – Analysis of the application will be based on the forms themselves and will include assessment against the selection criteria and an examination of the eligibility of the expenditure proposed and whether it is reasonable for the purposes of achieving the objectives of the application.
- Opinion of the Joint Committee – Following analysis, applications are ranked in accordance with the rating arrived at after their assessment against the selection criteria and on the basis of the funds available, and submitted to the Joint Committee for its opinion. Once this opinion has been obtained, a decision can be made.
- Decision – Instrument validating approval of the application for financing.
- Financing contract – The financing decision is formalised by a written contract concluded between the RA and the body applying for financing.

The procedures for the conclusion of contracts will be in conformity with the provisions of Article 11 of the rules governing the implementation of the Fund and will ensure compatibility between the national and European Union rules applicable to public procurement.



In response to the two last calls for proposals, 15 project applications were submitted by 6 potential beneficiaries. However, as a consequence of the budgetary constraints, 3 beneficiaries withdrew some (or in some cases the totality) of their projects, leaving a total number of 7 projects divided by 4 beneficiaries.

## **2. CHANGES IN THE MANAGEMENT AND CONTROL SYSTEMS (if applicable)**

The RA is revising the Portuguese Management and Control System, and shall notify the Commission of its final result in accordance with article 21, 1., (b) of the Commission Decision no. 2008/456/EC, of March 5<sup>th</sup>, 2008.

## **3. ACTIONS TO BE SUPPORTED BY THE PROGRAMME IN ACCORDANCE WITH THE PRIORITIES CHOSEN**

The Annual Programme for 2009 (AP 2009) of the External Borders Fund (EBF) was approved on 5 August 2009 through Commission Decision C(2009) 5899.

After this approval, various projects were presented; however, several of them were withdrawn. After the changes in the national legislation, allowing the increase of the pre-financing amounts to 50%, a new period for applications was opened leading to a new wave of projects. Nevertheless, some of them were also withdrawn as a consequence of the budgetary constraints.

All the calls for applications have been advertised through the publication of the announcement in the national media and in the RA's own site: <http://www.fundoscomunitarios.mai.gov.pt/>.

The RA provided all the potential beneficiaries with all the necessary support and clarification, also considering that the available period of execution would be very short to materialize the projects to be supported within the scope of this 2009 AP.



At this moment, there are 7 projects in analysis and it's expected that they can absorb almost the entire Fund available.

Bearing in mind that these projects are substantially different from the ones initially forecasted and included in the 2009 AP already approved, it is imperative to review it.

This revised version of the AP 2009 of the External Borders Fund consists in a way to reconcile the current projects with the 2009 AP and an attempt to exhaust the sums still available.

In fact, it is now possible to estimate the effective execution of the approved projects with a high degree of certainty and, consequently, reallocate the Fund amounts per Action in order to ensure that the Programme amounts are fully absorbed.

It is therefore proposed to reinforce Actions 2 and 3, by releasing the same amounts from Action 1 and Technical Assistance of the AP 2009.

### **3.1. Actions to be executed under priority 1 – Support for the establishment of the common integrated border management system as regards the checks of persons at and surveillance of the external borders**

#### **ACTION 1 – EXTERNAL BORDER CONTROL FACILITIES**

##### Purpose and scope of the action

The action seeks to pursue the objective of modernizing external border control facilities, thus delivering high levels of effectiveness and security in the context of checks on persons and border surveillance and, therefore, border management. Accordingly, following on from the investment provided for under the 2007 and 2008 Annual Programmes, the action takes the following form:

- a) The acquisition of stamps and special ink for passport control. The actual stamps are in use since 1994 and its renewal is an urgent need. This equipment (the stamps and the respective ink) obeys to a set of security requirements in order to ensure a constant level of control and awareness at the external borders. This equipment is meant to be used in every external border crossing posts.
- b) The manufacture and assembly of passport control desks to be used by the SEF officials in the João Paulo II Airport, located in Ponta Delgada – Azores. The project will be undertaken by ANA – Airports of Portugal and consists in the acquisition and installation of 5 passport control desks, located in the border crossing points. Ana – Airports of Portugal is the state held company responsible for the management of the Portuguese international airports. Any intervention in the airport infrastructures has to be under taken by this entity which, therefore, will be the grant recipient of this project.

Action	Project				
	Beneficiary	Name	Budgetted Cost	Budgetted Fund	Cofin. Rate
(1)	(3)	(4)	(8)	(9)	(10)=(9)/(8)
<b>1 - External border control facilities</b>			<b>233.749,02</b>	<b>175.311,77</b>	<b>75%</b>
	ANA	AJP II - Terminal - Passport control desks	89.870,34	67.402,76	75%
	SEF	Purchase of stamps and ink for passport control (CCES)	143.878,68	107.909,01	75%

**The action seeks to pursue the following objectives under the Multiannual Programme:** to modernize external border control facilities with a view, gradually, to make them interoperable with counterpart facilities in other Member States, taking into account the results of integrated and common risk assessment.





### Beneficiaries of the action

The beneficiaries of this action will be:

- the Foreign Nationals and Borders Service (*SEF - Serviço de Estrangeiros e Fronteiras*),
- the state held company responsible for the operation of the Portuguese mainland and Azores international airports and with competences in the management of border crossing infrastructures and equipments, ANA – Airports of Portugal (*ANA – Aeroportos de Portugal*).

### Expected quantified results

- a) Increase in the security levels through the substitution of all the stamps in use nowadays;

Better and easier distinction between original and fake stamps;

Number of stamps: 620 un.

Red security ink: 60 x 120ml

Black security ink: 140 x 120ml

- b) Qualitative improvement of the existing facilities making them more functional;

Reduction of the current waiting time;

Number of desks installed: 5

### Financial information

<b>Action</b>	<b>Community contribution</b>	<b>Public contribution</b>	<b>Private contribution</b>	<b>TOTAL</b>	<b>%EC</b>
	1	2	3	4=1+2+3	5=1/4
<b>Action 1</b>	175.311,77	58.437,26	0,00	233.749,03	75%

### **3.2. Actions to be implemented under priority 2 – Support for the development and implementation of the national components of a European surveillance system for the external borders and of a permanent European patrol network at the southern maritime borders of the EU Member States**

#### **ACTION 2 – BORDER SURVEILLANCE AND INTERCEPTION EQUIPMENT**

In the first version of this Annual Programme the projects included were the Morcego & Mobile and the Open Sea, presented by the National Republican Guard (*GNR – Guardia Nacional Republicana*). However, both of them were withdrawn by the beneficiary, leading to a new call for applications. Following this process, the SIVICC project was submitted. Due to the significant increase in the total eligible amount required in this application it is proposed the increase in the available amount for this action, without any prejudice to the other applications.

##### Purpose and scope of the action

The project SIVICC (Phase 1) included in this action will be implemented in stages, continuing through the 2010 and 2011 AP's (at least).

The essential purpose of the action is thus to strengthen and modernize the system of surveillance and control at maritime external borders, as well as making more efficient the coordination, interconnection and interoperability of those arrangements.

In order to prevent and combat illicit activities on maritime approaches to the coast, it is essential to ensure that the systems and their components are coordinated, interconnected and interoperable with a view to increasing the efficiency and effectiveness of the actions taken.

The aim is to ensure that the form of coastal surveillance to be adopted is based on a platform which is technologically more advanced and up to date, so as to be able to fight against illegal immigration, with enhanced

surveillance, prevention and intervention from resources and installations on land and at sea.

This first phase includes the adaptation and preparation works of the buildings for the national and regional command and control centres, the acquisition and installation of two fixed and three mobile observation posts, their projects, the acquisition of portable surveillance cameras and training actions to operate the system. The national and regional command and control centres are located respectively in Lisbon and Ferragudo and the two fixed observation posts will be located in Ancão (Algarve region) and Sardão (Alentejo region). The mobile observation posts are to be deployed throughout the external maritime border, according to the operational needs.

The two fixed observation posts will be installed in already existing infrastructures (buildings) of the GNR and will be equipped with: radar system; electro-optical system, work station; interface for remote control of sensors; communication system (including the respective security systems); GPS reception; application of the command and control system and decision support software with data fusion and digital mapping; video and data backup system; surveillance system (for peripheral security).

The three mobile observation posts, installed in vehicles will be equipped with: radar system; electro-optical system; GPS; application of the command and control system and decision support software with data fusion and digital mapping; communications equipment and interface; software and equipment for security in data transmission; work station; security system to the perimeter of the vehicle with motion detector sensors and CCTV cameras.

Action	Project				
	Beneficiary	Name	Budgetted Cost	Budgetted Fund	Cofin. Rate
(1)	(3)	(4)	(8)	(9)	(10)=(9)/(8)
<b>2 - Border surveillance and interception equipment</b>			<b>3.702.600,00</b>	<b>2.190.482,88</b>	<b>59%</b>
	DGIE	SIVICC	3.702.600,00	2.190.482,88	59%



**The action seeks to pursue the following objectives under the Multiannual Programme:** Development of an integrated national system for the surveillance of external borders which is able to disseminate relevant information on an ongoing basis to all entities involved in external border control, including the purchase of equipment to carry out detection, identification and intervention activities at borders, including land- and sea-based means of intervention which are interoperable with other internal and external systems for the management, control and surveillance of borders and fixed and mobile observation posts.

#### Beneficiaries of the action

The beneficiary of this action will be the Directorate-General of Infrastructures and Equipments of the Ministry of Home Affairs (DGIE – Direção Geral de Infra-estruturas e Equipamentos do Ministério da Administração Interna), the entity legally responsible for the major investments in this field of action, as defined in the article 2 of Decision nº 2008/456/EC.

#### Expected quantified results

Strengthening the capabilities for command and control of the coastal surveillance activities.

Development of the necessary conditions for the installation of the surveillance system.

Number of fixed observation posts: 2

Number of mobile observation posts: 3

Number of portable surveillance cameras: 20

## Financial information

Action	Community contribution	Public contribution	Private contribution	TOTAL	%EC
	1	2	3	4=1+2+3	5=1/4
<b>Action 2</b>	2.190.482,88	730.160,96	0,00	2.920.643,84	75%

### **3.3. Actions to be implemented under priority 4 – Support for the establishment of IT systems required for implementation of the Community legal instruments in the field of external borders and visas**

#### **ACTION 3 – PURCHASE OF INFORMATION EXCHANGE EQUIPMENT**

##### Purpose and scope of the action

Pursuing the objectives set out in the 2007 and 2008 Annual Programmes, the action seeks to develop further the national components of information systems, in particular the Schengen Information System (SIS) and the Visa Information System (VIS).

- a) In what concerns the SIS, the development/update of the national component of SIS II aims to adapt it through the implementation of the requirements set in the Interface Control Document (ICD) v.2.7 and in the Detailed Specifications (DTS) defined by the European Commission, implementing the respective changes to the application system, both in the interactive and interface components. The project began in January 2009, when the ICD 2.7 was the version under implementation. However, due to the subsequent developments, it is expectable that some changes may occur during its implementation in order to comply with the current ICD version.

This new call was due to the changes in the national pre-financing rules, allowing the beneficiary to have a pre-financing amount of 50% instead of the previous 15%.

- b) In the matters of VIS, it is foreseen the acquisition of 25 kiosks to collect biometric data to be located in several SEF posts: 6 in the SEF headquarter, 3 in the attendance booth of Alverca, 3 in the Portimão delegation, 4 in the Setúbal delegation, 1 in the Tavira delegation, 2 in the Santarém delegation, 3 in the National Centre for Immigration Support and 3 in the Cascais delegation. This project represents the first phase of an acquisition of 75 kiosks and will allow the collection of pictures, fingerprints and biometric data. Each equipment costs 9.291,75 € (plus VAT). These modules will congregate the capacities described above in the same place, allowing its comparison with the respective data bases. This project is directly related to the process of biometric information collection to the National Visa System. It contains the National Visa Database, which includes the information from the visas issued in the consular offices. The collection of biometric data will be made according to the VIS requirements.
- c) This action, in what regards the VIS, also includes the update of the communication lines with four consular posts (Rabat, Cairo, Tripoli and Moscow) in order to insure the necessary backups and prepare the installation of the VIS system. The project includes the update and implementation of the backup system, the update of the Oracle database, the update of the RPV (Network of Visa Application) to the VIS system and installation of the online visa functionality.
- d) It is also included an autonomous project aiming the necessary training for the consular officials in order to prepare the installation of the VIS system. The training sessions are made up of four core modules: EU's policy in matters of circulation of persons; classification of visa applications according to the national and EU legislation; processing visa applications and acquire methods for document analysis; identify the basic safety features common to genuine documents, use of the equipments to assist the detection of document



fraud, identifying evidence of document fraud and make their association with various types of forgery. These training actions will cover five different geographic areas: Asia, South America, Europe, North Africa and Sub-Saharan Africa. In Asia the training action takes place in the Consulate-General of Portugal in Macau, for two days, and includes consular officials from the General-Consulate of Shanghai, the Embassy of Bangkok, the Embassy of Beijing, the Embassy of Jakarta and the Embassy of Díli. The South America training action takes place in the Consular Section of the Portuguese Embassy in Buenos Aires, for two days, and includes consular officials from the Consulate-General of Recife, the Consulate-General of Porto Alegre, the Embassy of Santiago of Chile and the Embassy of Mexico City. In Europe the training action takes place in the Consular Section of the Portuguese Embassy in Sofia, for two days, and includes consular officials from the Embassy of Kiev, the Embassy of Warsaw, the Embassy of Ankara, the Embassy of Moscow, the Embassy of Riga and the Embassy of Bucharest. In North Africa the training action takes place in the Consular Section of the Portuguese Embassy in Tunis, for two days, and includes consular officials from the Embassy of Algiers, Embassy of Praia, Embassy of Kinshasa, Embassy of Tripoli, Embassy of Rabat and Embassy of Cairo. In the Sub-Saharan Africa the training action takes place in the Consulate-General of Portugal in Johannesburg, for two days, and includes consular officials from the Consulate-General of Beira, the Consulate-General of Benguela, the Consulate-General of Maputo, the Consulate-General of Cape Town, the Consulate-General of Luanda, the Embassy of Pretoria, the Embassy of Harare, the Embassy of Nairobi and the Embassy of Windhoek. The training actions will be lectured by two officials from the Ministry of Foreign Affairs and one from the Foreign Nationals and Borders Service.

Action	Project				
	Beneficiary	Name	Budgetted Cost	Budgetted Fund	Cofin. Rate
(1)	(3)	(4)	(8)	(9)	(10)=(9)/(8)
<b>3 - Purchase of information exchange equipment</b>			<b>1.164.617,70</b>	<b>873.463,28</b>	<b>75%</b>
	SEF	Development/update of the national component of SIS II	409.440,58	307.080,44	75%
	DGACCP	Consular modernization of the visa network	411.552,50	308.664,38	75%
	SEF	Implementation of the VIS national component - purchase of VIS equipment	285.721,31	214.290,98	75%
	DGACCP	Consular training program in visas	57.903,31	43.427,48	75%

**The action seeks to pursue the following objectives under the Multiannual Programme:** Development of the national components of SIS and VIS in order to comply effectively with the rules and time-limits laid down by the European Commission and to achieve rigorous information security standards in the context of Portuguese external border control.

#### Beneficiaries of the action

The beneficiaries of this action will be the Foreign Nationals and Borders Service (*SEF - Serviço de Estrangeiros e Fronteiras*) of the MHA and the Directorate-General of Consular Affairs and Portuguese Communities (*DGACCP - Direcção-Geral dos Assuntos Consulares e das Comunidades Portuguesas*) of the Ministry of Foreign Affairs (MFA).

#### Expected quantified results

- a) A more secure and efficient border control.

Fulfillment of the Community's requirements.

- b) Number of kiosks purchased: 25

Number of collection modules implemented: 25



c) Prepare the consular posts for the reception of VIS.

Number of posts prepared for the VIS: 4

d) Efficiency increase in the processing of visa applications and detection of false documents.

Training actions: 5

#### Financial information

<b>Action</b>	<b>Community contribution</b>	<b>Public contribution</b>	<b>Private contribution</b>	<b>TOTAL</b>	<b>%EC</b>
	1	2	3	4=1+2+3	5=1/4
<b>Action 3</b>	873.463,28	291.154,43	0,00	1.164.617,71	75%

### **3.4. Common issues**

#### Implementation Schedule

January 1<sup>st</sup>, 2009 to June 30<sup>th</sup>, 2011.

#### Visibility of co-financing by the Fund

Co-financing by the Fund of any component relating to management of the Programme and the projects to be approved will be clearly visible. Methods for ensuring visibility will include:

- Placing the EU logo and specifying co-financing by the Fund on all material produced to implement the programme (invitations to present project proposals, manuals of procedures, guidelines, forms, correspondence, etc.);
- Placing the EU logo on all equipment purchased for the project;



- Placing the EU logo and specifying co-financing by the Fund on all relevant publicity material, prospectuses, headed paper, public relations activities, etc;
- Placing the EU logo and specifying co-financing by the Fund at the premises of subsidy beneficiaries (for example, office walls, entrances, etc.);
- Publicising co-financing by the Fund, in particular where projects are mentioned in the context of seminars or conferences;

The following text will be used to specify co-financing by the Fund:

**“Project co-financed by the European External Borders Fund”.**

Complementarity with similar actions financed by other Community instruments

Complementarity with other Community instruments and the prohibition of overlapping financing are basic principles of management which are laid down in the national legislation applicable to the implementation of the Fund and which find expression at all stages of the Programme’s execution, in particular as follows:

- The Joint Committee, which is the advisory body of the Programme's Responsible Authority, comprises representatives of those members of the Government with competence in the area in which the Fund is active and becomes involved at the stage of drafting the corresponding programming as well as at the stage of approving projects, its specific responsibilities being, among others:
  - Providing the information necessary to ensure that there is consistency and complementarity between the financing from the Fund and other relevant national and Community instruments;
  - Deliver opinions on developments in national investment priorities in the area in which the Fund is active.
- During the various stages of the Programme’s implementation, in particular at the stages of submitting applications and monitoring and



reviewing projects, the RA asks the body applying for financing or the body to which financing has been awarded, as the case may be, for information on the compatibility of the action in respect of which financing has been applied for or awarded with other national or Community instruments and ascertains whether there is any overlapping financing; for this purpose, the application form contains a specific field in which the applicant must specify any complementarity between the application being submitted and any other project(s) executed or in the course of being executed; when examining the application, the RA checks for consistency and to ensure that there is no overlapping support, inter alia by consulting the information systems of the authorities managing the national programmes, in particular with Community support.

- The RA represents the Ministry of Home Affairs within the monitoring bodies of the National Strategic Reference Framework for the period 2007-2013, which monitor implementation of the programmes supported by the Structural Funds;
- Within the Ministry of Home Affairs, the RA centralises all information relating to projects or actions in respect of which any form of Community financing has been applied for or awarded, and is responsible for ensuring that that financing is consistent and that there is no overlapping support.

#### **4. TECHNICAL ASSISTANCE**

##### **Purpose of technical assistance**

Technical assistance is specifically intended to promote maximum effectiveness and efficiency in the implementation of the Programme, and therefore seeks to provide, through the RA's, AA's and CA's technical support structure, a range of management activities which are essential for executing, monitoring and reviewing projects financed under the Programme so as to ensure that the objectives proposed are achieved.



To that end, technical assistance helps equip the RA's, AA's and CA's management structures, with the logistical facilities essential to its proper operation and to effective monitoring and review.

It is also responsible for taking action to promote, communicate and publicise financing, particularly the results achieved through the projects supported by the Fund.

Accordingly, the main expenditure headings to be taken into account are as follows:

- Expenditure relating to financing the RA's, AA's and CA's management support structures, including spending on the human and material resources allocated to them;
- Purchase of services for preparing midterm evaluation reports, implementation reports, final reports or some other reports that may be at any time required by the Commission, in particular expenditure incurred by the Responsible Authority in connection with the provision and monitoring of those services;
- Purchase of services for auditing and reviewing the projects supported, in particular expenditure incurred by the Auditing Authority in connection with the provision and monitoring of those services;
- Purchase of services for certifying expenditure, in particular cost incurred by the Certifying Authority in connection with the provision and monitoring of those services;
- Purchase of IT services and equipment to support the RA's management, monitoring and evaluation activities;
- Collection and processing of the information required to monitor the material and financial implementation of the projects financed;
- Information and publicity sessions with potential Programme beneficiaries and production of the corresponding media and tools;
- Communications and publicity sessions, in particular with respect to the results achieved through the projects supported;



- 
- Technical preparation of the frameworks earmarked for the management support structure;
  - Information sessions on the implementation of the Multiannual Programme results obtained via the projects supported by the annual programme.

Since the management and control system is the same for all four Funds under the Framework Programme, in accordance with the specimen submitted to the European Commission at the appropriate time, and in keeping with the logic of rational and efficient resource management, expenditure relating to the common technical assistance headings will be applied jointly to the four Funds, in a reasonable and verifiable manner and in such a way as to avoid any duplicated financing of costs.

In accordance with paragraphs 10 and 11 of Council of Ministers Resolution No 155-A/2006 of 17 November 2006, the budgetary costs of this measure are to be borne by appropriations from the budget of the Ministry of Home Affairs. The General Secretariat of the Ministry of Home Affairs (SG-MAI) is to be responsible for providing logistical support to the RA and for budgetary implementation, and is accordingly the final beneficiary of the technical assistance.

The SG-MAI's accounting system is organised in accordance with the Accounting Information System (AIS), and complies with the principles and concepts of accounting and the cost accounting criteria laid down by law.

### **Expected quantified results**

The expected results of this measure have to do with achieving the goals and objectives set out for the Programme and will therefore manifest themselves in management tasks and procedures, which, in accordance with the applicable rules, will make it possible to maximize the national and Community resources assigned to the Programme.

The following indicators will therefore be used:



- Rate of execution of the Fund expenditure at 31.03.2012 - 100%
- Average time taken to assess applications – 30 days

### **Beneficiaries of the action**

The Responsible Authority, the Auditing Authority and the Certifying Authority.

### **Visibility of Community financing**

See point 3.4.

### **Financial Information**

<b>Action</b>	<b>Community contribution</b>	<b>Public contribution</b>	<b>Private contribution</b>	<b>TOTAL</b>	<b>%EC</b>
	1	2	3	4=1+2+3	5=1/4
<b>Technical Assistance</b>	134.969,07	0,00	0,00	134.969,07	100%

### **Implementation Schedule**

January 1<sup>st</sup>, 2009 to March 31<sup>st</sup>, 2012.

## 5. DRAFT FINANCING PLAN

**Annual Programme**  
**Table 1 – Overview table**

Annual Programme - Draft Financing Plan Table 1 - Summary Table								
<b>Member State: Portugal</b>								
<b>Annual Programme: 2009</b>								
<b>External Borders Fund</b>								
(All numbers in euros)	Priority No	Specific priority No (1)	Community contribution (a)	Public contribution (b)	Private contribution (c)	TOTAL (d = a+b+c)	EC % (e = a/d)	Share of total (d/total d)
Action 1	<b>1</b>		175.311,77	58.437,26	0,00	<b>233.749,03</b>	75%	5%
Action 2	<b>2</b>		2.190.482,88	730.160,96	0,00	<b>2.920.643,84</b>	75%	66%
Action 3	<b>4</b>		873.463,28	291.154,43	0,00	<b>1.164.617,71</b>	75%	26%
Technical assistance			134.969,07	0,00	0,00	<b>134.969,07</b>	100%	3%
Other operations(2)								
<b>TOTAL</b>			<b>3.374.227,00</b>	<b>1.079.752,65</b>	<b>0.00</b>	<b>4.453.979,65</b>	<b>75%</b>	<b>100%</b>
(1) If applicable.								
(2) If necessary, specific measures defined in the basic act, in particular emergency measures.								

*Signature of the responsible person*

Pedro Duarte Silva

EU Funds Management Task Group

Ministry of Home Affairs